PATENT Serial No. 10/593,377

Atty. Docket No. 031280-020

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Nigel Boast et al. CONFIRMATION NO.: 8851

SERIAL NO.: 10/593,377

FILING DATE: 02/04/2008

TITLE: APPARATUS AND METHOD FOR USING OZONE AS A

DISINFECTANT

EXAMINER: Not yet assigned

ART UNIT: 1797

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CORRECTION TO FILING RECEIPT

We received the filing receipt for the above-identified patent application. Please amend the appropriate records to reflect the correct Assignment For Published Patent Application and Power of Attorney.

Please change the Assignment For Published Patent Application.

From:

Huawei Technologies Co. LTD., Shenzhen, Guangdong Province, CHINA

To:

ViroForce Systems Inc., Vancouver, British Columbia, CANADA

Please change the Power of Attorney.

From:

Khaled Shami -- 38745

To:

The patent practitioner's associated with customer number 46188.

PATENT Serial No. 10/593,377

Atty. Docket No. 031280-020

Enclosed are copies of the filing receipt marked with the appropriate corrections, the

executed Declaration and Power of Attorney and the Notice of Recordation. Please provide

applicant with an updated filing receipt reflecting the changes requested.

The Commissioner is hereby authorized to charge any additional fees or credit any

overpayment to Deposit Account No. 50-1698.

Respectfully submitted,

THELEN LLP

Dated: 09/09/2008 /Khaled Shami/

Khaled Shami Reg. No. 38,745

THELEN LLP P.O. Box 640640

San Jose, CA 95164-0640

Tel: (408) 292-5800 Fax: (408) 287-8040



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vigenia 72313-1450 www.nipto.gov

FILING or APPLICATION GRP ART NUMBER 371(c) DATE UNIT FIL FEE REC'D ATTY DOCKET NO TOT CLAIMS IÑD CLAIMS 10/593,377 02/04/2008 1797 515 031280-000020

CONFIRMATION NO. 8851

Robert E Krebs Thelen Reid & Priest LLP P O Box 640640 San Jose, CA 95164-0640

FILING RECEIPT

Date Mailed: 05/07/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Nigel Boast, Kelowna, BC, CANADA; Doug Heselton, Surrey, BC, CANADA; Jim Hudson, Delta, CANADA: Sharma Manju, Vancouver, CANADA;

Assignment For Published Patent Application

Published Patent Application VivoForce Systems Unc., Vancouver, Huswei Technologies Co. LTD., Shenzhen, Guangdong Province, CHINA. British Columbia, CANADA

Power of Attorney:

Khaled Shami-38745 The patent practitioner's associated with customer number

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/CA05/00412 03/18/2005 which claims benefit of 60/553,937 03/18/2004 and claims benefit of 60/625,101 11/05/2004

and claims benefit of 60/656,888 03/01/2005

Foreign Applications

If Required, Foreign Filing License Granted: 05/02/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention,

is US 10/593,377

Projected Publication Date: 08/14/2008

Non-Publication Request: No Early Publication Request: No

** SMALL ENTITY **

Reviewed By: 10-

No Action Required:

Action Required: <u>P으</u>유

page 1 of 3

Title

Apparatus and Method for Using Ozone as a Disinfectant

Preliminary Class

210

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

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NOT GRANTED

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Atty. Docket No. 031280-020

DECLARATION & POWER OF ATTORNEY

As a below-named inventor, I hereby declare that:

My correct city and state of residence, my post office address and my citizenship are stated below next to my name.

l bel	ieve myself to be t	he original, first and sol	le inventor (i	if only one na	ıme is listed l	oelow) or an
		f more than one name				
		vhich a patent is sough				
		ND METHOD FOR US				
The specifica	tion of this subject				•	
	is attached here	eto.				
\boxtimes	was filed on <u>09</u> , and was amend	/18/2006 as United Sta ded on (if ap	tes Applicat plicable).	ion Number :	10/593,377	
\boxtimes	was filed on <u>03/</u> and was amend	<u>'18/2005</u> as PCT Internited on (if ap	ational App plicable).	lication Numl	per <u>PCT/CA2</u>	005/000412
do not believe my invention t invention there sale in the Uni has not been p application in representative	that the claimed in hereof, or patented eof or more than or ited States of Ame patented or made it any country foreign	e reviewed and unders, as amended by any an anvention was ever known or described in any properties are prior to this apprica more than one year by the subject of an invention to the United States of than twelve months (for this application.	menaments who rused is inted public colication, the triprior to thi dor's certification	s) referred to n the United ation in any c at the same w is application ate issued be	above. I do States of Am country before yas not in put, and that the fore the date	not know and erica before a my blic use or on invention of this
I ackno application in a	owledge the duty to accordance with Ti	o disclose information the 37, Code of Federal	which is mat Regulation	terial to the p s § 1.56.	atentability of	f this
		ority benefits under 35 ed below and have also illing date before that of				
PRIOR FORE	GN APPLICATION	<u>v(s)</u>	Priority	Claimed	<u>Certifia</u> <u>Attac</u>	ed Copy Ched?
Number	Country	Month/Day/Year Filed	Yes	No	Yes	No
Number	Country	Month/Day/Year Filed	Yes	No	Yes	No
Number	Country	Month/Day/Year Filed	Yes	No	Yes	No

Atty. Docket No. 031280-020

I hereby appoint practitioners associated with **Customer Number: 46,188** as attorneys of record with full power of substitution and revocation, to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith. If this application is assigned by me I agree and understand that the above-named attorneys will represent the assignee and not me.

Please send all correspondence and direct all telephone calls to the address associated with **Customer Number 46,188**.

I, the undersigned, declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the ilke so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing therefrom.

FULL NAME OF FIRST	Name	MIDDLE Initial(s)	LAST Name	
	Nigel		Boast	
RESIDENCE AND CITIZENSHIP	City	State or Foreign Country	Country of Ci	tizenship
	Kelowna	Canada	Canada	
POST OFFICE ADDRESS	Number and Street	City	State or Country	Zip Code
	277 Mathison Place	Kelowna	Canada	V1Y 5R4
FULL NAME OF 2 ^{NO} INVENTOR 2	Name	MIDDLE initial(s)	LAST Name	
	Doug		Heselton	
RESIDENCE AND CITIZENSHIP	City	State or Foreign Country	Country of Cit	izenship
	Surrey	Canada	Canada	
POST OFFICE ADDRESS	Number and Street	City	State or Country	Zip Code
	6421 - 179 th Street	Surrey	Canada	V3S 7J9
FULL NAME OF 3 rd INVENTOR 3	Name	MIDDLE Initial(s)	LAST Name	
,	Jim		Hudson	
RESIDENCE AND CITIZENSHIP	City	State or Foreign Country	Country of Citi	zenship
	Delta	Canada	Canada	
POST OFFICE ADDRESS	Number and Street	City	State or Country	Zip Code
	4700 Stahaken Place	Delta	Canada	V4M 4B3

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Atty. Docket No. 031280-020

FULL NAME OF 415	Name		mar mounted (40. 031280-0 <u>2</u> 0
ENVENTOR 4	Ohan	MUDLE (nkiel(a)	LAST Nar	na
ASSIDENCE AND	Sharma City		Manju	
CITIZENSHIP	Vancouver	State or Foreign Country	Country of	Citizenship
POST OFFICE ADDRESS	Number and Street	City	Canada	
PEGA TOTAL	373 East 54" Street	Vancouver	State or Country	Zip Code
		- Ancouver	Canada	V5X 1L1

I further declare that all statements made herein of my own knowledge are are and that all statements made upon information and belief are believed to be true; and further that these statements were made with the knowledge that witful false statements were made with the Section 1001 of Title 18 of the Original States Code, and that such willful false statements may jeopardize the validity of the application or any parameters.

GEL BOX

San G Com

23-39H 2008

SHAHMA MANJU 23-1- 200

FROM : D. HESELTON

FAX NO. :

30 Jan 2008 10:55AM HP LASERJET FRX

SHARMA VANJU

Jan. 30 2008 11:36AM P1

p.2

Atty. Docket No. 031280-020

Full name of 4th Inventor 4	Nazne	MIDDLE Initial(5)	LAST Ham	1 0
	Sharma		Manju	
RESIDENCE AND CITIZENSHIP	City	State or Foreign Country	Country of	Ctizenship
	Varioquver	Cariada	Canada	
POST OFFICE	Number and Street	City	State or Country	Zip Code
ADD4E99	373 East 54 th Street	Vancouver	Canada	V5X 1L1
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37 C.F.R. §1.56 Duty to disclose information material to patentability

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1,97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
 - (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application;
 - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

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TO:THELEN REID BROWN RAYSMAN & STEINER LLP COMPANY:P.O. BOX 640640



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE



SEPTEMBER 08, 2008

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PTAS
THELEN REID BROWN RAYSMAN & STEINER LLP
P.O. BOX 640640
SAN JOSE, CA 95164

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 09/08/2008 REEL/FRAME: 021495/0701

NUMBER OF PAGES: 8

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 031280-020

ASSIGNOR:

BOAST, NIGEL DOC DATE: 01/23/2008

ASSIGNOR:

HESELTON, DOUG DOC DATE: 01/30/2008

ASSIGNOR:

HUDSON, JIM DOC DATE: 01/23/2008

ASSIGNOR:

MANJU, SHARMA DOC DATE: 01/23/2008

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TO:THELEN REID BROWN RAYSMAN & STEINER LLP COMPANY:P.O. BOX 640640

021495/0701 PAGE 2

ASSIGNEE:

VIROFORCE SYSTEMS INC.
WORLD TRADE CENTER, SUITE 404-999
CANADA PLACE
VANCOUVER, BRITISH COLUMBIA,
CANADA
V6N 3E5

SERIAL NUMBER: 10593377 FILING DATE: 02/04/2008

PATENT NUMBER: ISSUE DATE:

TITLE: APPARATUS AND METHOD FOR USING OZONE AS A DISINFECTANT

ASSIGNMENT SERVICES BRANCH PUBLIC RECORDS DIVISION

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PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1 09/08/2008 500641021

SUBMISSION TYPE:	NEW ASSIGNMENT

NATURE OF CONVEYANCE: ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
NIGEL BOAST	01/23/2008
DOUG HESELTON	01/30/2008
JIM HUDSON	01/23/2008
SHARMA MANJU	01/23/2008

RECEIVING PARTY DATA

Name:	VIROFORCE SYSTEMS INC.
Street Address:	WORLD TRADE CENTER, SUITE 404-999 CANADA PLACE
City:	VANCOUVER, BRITISH COLUMBIA
State/Country:	CANADA
Postal Code:	V6N 3E5

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	10593377

CORRESPONDENCE DATA

Fax Number: (408)287-8040

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: (408)292-5800
Email: jarango@thelen.com

Correspondent Name: THELEN REID BROWN RAYSMAN & STEINER LLP

Address Line 1: P.O. BOX 640640

Address Line 4: SAN JOSE, CALIFORNIA 95164

ATTORNEY DOCKET NUMBER:	031280-020
NAME OF SUBMITTER:	Khaled Shami

Total Attachments: 6

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